



9-16-04

IFW

Docket No. 7676/SYNX/JB

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Inventor(s): Michael Robert Rice et al.  
Title: SUBSTRATE CARRIER HANDLER THAT UNLOADS SUBSTRATE CARRIERS DIRECTLY FROM A MOVING CONVEYOR  
Serial No.: 10/650,480  
Filed: August 28, 2003  
Art Unit: 3652  
Examiner: Not yet assigned

Transmitted herewith is:

- ☒ PTO Form 1449 and Supplemental Information Disclosure Statement with copies of references cited therein.  
☒ Transmittal in duplicate.  
☒ Return Postcards.

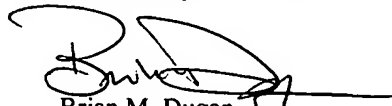
FEE CALCULATION					
Fee Items	Claims Filed	Included With Basic Fee	Extra Claims	Fee Rate	Total
Total Claims	N/A	- 20 =	-0-	X \$18.00	\$0.00
Independent Claims	N/A	- 3 =	-0-	X \$84.00	\$0.00
Basic Filing Fee				\$740.00	\$0.00
TOTAL FEES					\$0.00

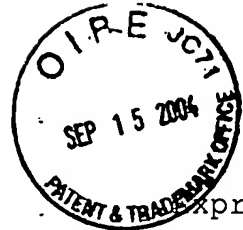
- The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.
- ☒ Please address all future correspondence to:
- Customer # 41161  
Dugan & Dugan, PC  
55 South Broadway  
Tarrytown, NY 10591

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail Receipt No. EV403025886USDate of Deposit: September 15, 2004Signature: 

Respectfully submitted,

  
Brian M. Dugan  
Attorney for Applicants  
Registration No. 41,720  
(914) 332-9081



Express Mail Label No. EV403025886US

Docket No.:  
7676/SYNX/JB

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Applicant(s) : Michael Robert Rice et al.  
Serial No. : 10/650,480  
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CONVEYOR  
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicants wish to call the attention of the Examiner to the  
following references:

U.S. Patent No. 5,762,544, Zuniga et al.

Foreign Art Reference No. EP 0 987 750 A1 (EPO)

Foreign Art Reference No. EP 1 134 641 A1 (EPO)

Foreign Art Reference No. WO 99/64207 (WIPO)

Foreign Art Reference No. WO 02/19392 A1 (WIPO)

These references are also listed on the accompanying  
Information Disclosure Statement (Form PTO-1449).

Consideration of the foregoing in relation to this  
patent application is respectfully requested.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Brian M. Dugan", is written over the printed name.

Brian M. Dugan, Esq.  
Attorneys for Applicants  
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Dated: September 15, 2004  
Tarrytown, New York

U.S. Department of Commerce, Patent and Trademark Office

Docket No.:

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Serial No.:

10/650,480

## LIST OF RELEVANT ART CITED BY APPLICANT

(Use several sheets if necessary)

Applicants:

Michael R. Rice, et al

Filing Date:

August 28, 2004

Group:

3652

## U.S. Patent Documents

*Examiner Initial		Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate
	US-1	5,762,544	06/09/98	Zuniga et al.	451	285	
	US-2						
	US-3						
	US-4						
	US-5						
	US-6						
	US-7						
	US-8						
	US-9						
	US-10						
	US-11						

## Foreign Patent Documents

Translation

		Document Number	Date	Country	Class	Subclass	Yes	No
	F-1	EP 0 987 750 A1	03/22/00	EPO			X	
	F-2	EP 1 134 641 A1	09/19/01	EPO			X	
	F-3	WO 99/64207	12/16/99	WIPO			X	
	F-4	WO 02/19392 A1	03/07/02	WIPO			X	
	F-5							

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	OT-1	
	OT-2	
	OT-3	

Examiner

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.